

An OpenMP Based Approach for Parallelization and Performance Evaluation of k-Means Algorithm

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Abstract: In today's digital world, the volume of data is drastically increasing due to the continuous flow of data from various heterogenous sources such as WWW, social media, environmental sensors, huge enterprise data warehouses, bioinformatic labs etc. to name a few. This results in creation of many high-volume datasets in various domains. Processing such large datasets is a tedious task, therefore they need to be categorized into smaller subsets using various supervised or unsupervised classification techniques. Clustering is the process of statistically analyzing and categorizing data objects with similarity, into substantially homogeneous groups, called data clusters. k-Means is the most common, simple and popular clustering technique, due to its ease of implementation, usability and wide range of applications. One of the issues associated with the k-Means algorithm is that it suffers from the scalability problem due to which, its performance degrades as the dataset sizes grow. In order to address this issue, we have presented an OpenMP based parallelized k-means algorithm which results in better computational cost as compared with its sequential counterpart. Computational performance results of both sequential and OpenMP based k-means algorithms are illustrated and compared.

Keywords: k-Means, OpenMP, Parallel Clustering

1. Introduction

Clustering is one of the common data mining operations that has many applications for data processing and categorization [1-3]. k-Means algorithm performs partitioning of the dataset objects into various clusters each of them represented by their centroids. [4-6]. In today's age of digitization there is a continuous flow of data from various heterogenous sources such as social media, WWW, environmental sensors, enterprise data warehouses, bioinformatic labs etc. This results in creation of a large number of high-volume datasets in various domains. Processing such large datasets is a tedious task, therefore they need to be categorized into smaller subsets using various supervised or unsupervised classification techniques [7-8].

When k-Means algorithm is applied to these massive datasets of sizes in gigabytes or terabytes, it suffers from the scalability problem due to which, its performance degrades as the dataset sizes grow. Many times, the traditional k-Means algorithms fail to execute in-core such high voluminous data or would result in extremely high computational time [9-10]. In order to speed up the k-Means execution on large datasets the parallel or distributed variant of k-Means must be used for processing voluminous datasets. Since now days most of the computational hardware are equipped with multiple cores, the performance of k-Means can be greatly improved by utilizing these cores and their associated memory units. [11-13]

In this study we have presented an OpenMP based parallelized k-means algorithm to improve the computational cost as compared with its sequential counterpart. One of the necessary requirements of this algorithms is that, the clustering result produced by it should match with that of its sequential counterpart.

After providing the introductions in section I, the remaining paper is organized as follows. In Section II, a review of the literature related to traditional sequential k-Means and its parallel OpenMP based counterpart are provided. In section III details of the proposed methodology are provided. Section IV describes the comparison of results of traditional and the proposed OpenMP based k-means. Finally, conclusions are drawn in section V.

2.Related Works

An extensive amount of work related to k-Means and various other clustering techniques has been reported in literature. In this section, a review of some of the selected work is presented. Clustering algorithms have been applied in wide range of domains including web mining, bioinformatics, image analysis, telecommunication, software modelling, business intelligence to name a few [14-28]. In order to prepare the massive datasets for clustering to be applied, it needs to be preprocessed. Several data preprocessing work have been reported, some of which can be found in [29-33].

Ansari et. al. has worked on various clustering techniques in the field of web usage clustering [34-35]. They have provided the comparative results of these techniques and performed the quantitative evaluation of their performance based on various performance measuring indices [36-37]. They have also utilized partition-based clustering algorithms for the clustering of web navigational access data [38] using k-Means, Fast global k-Means and k-Medoids methods [39-41]. They have also provided the comparison between these algorithms for cluster formation. When k-Means algorithm is integrated with soft computing techniques it become more robust against data imperfections, but it becomes computationally expensive. Fuzzy set-based k-Means algorithms have been extensively applied for data categorization [42-45] where each object may be associated with multiple categories with a different level of membership. Neural Network based k-Means algorithms add better more and robustness to k-Means but at the cost of high computational time [46-49]. Rough-set based k-Means algorithm also provides overlapping clusters but runs too slow [50]. Other soft computing techniques such as modified mountain clustering have also been used for data categorization [51-52].

To deal with the high voluminous data, several distributed data clustering approaches using Hadoop and MapReduce have been successfully applied. Tanvir et. al. has reported several works related to MapReduce based variant of k-Means algorithms for document clustering [53-54]. Many other improved variants of k-Means with the objective of enhancing their computational performance can be found in [55-56]. There are related works on OpenMP based parallel k-Means. Huang et al. illustrated performance of k-Means on multi-cores [57]. Nazir et. al have performed parallel partitioning using OpenMP to optimize the computational cost of k-Means [58].

In this OpenMP based implementation of k-means algorithm, those snippets of code are parallelized which most expensive computationally such as distance calculation, choosing the cluster etc. This selective parallelization gives good performance and doesn't add much overhead. And for solving the problems of false sharing, OpenMP's 'schedule' clause is used to schedule the iteration between the threads.

3.Methodology

Let us first review the sequential implementation of k-means algorithm for a better understanding the methodology on OpenMP based k-Means.

Sequential k-Means: Sequential k-Means clustering algorithm is described in Algorithm 1. The initial centroid C_i can be found for the range values n_1 and n_2 with k clusters as:

$$C_i = ((n_2 - n_1) / k) * (i+1) \quad \text{for } i < k \quad (1)$$

The Euclidean distance in two dimensions between two points $p = (p_1, p_2)$ and $q = (q_1, q_2)$ is given by:

$$d(\mathbf{p}, \mathbf{q}) = \sqrt{(q_1 - p_1)^2 + (q_2 - p_2)^2}. \quad (2)$$

The new cluster centroid $C(i)$ can be found by,

for $i=0$ to $k-1$

$$\text{sum}_i = \sum_{j=0}^{\text{count}(i)} d(j, i)$$

$$C_i = \text{sum}_i / \text{count}_i \quad (3)$$

The advantage of this algorithm is that here we take the initial cluster centroid with the help of the range of the data items. Hence the performance and the cluster quality will be increased

Algorithm 1: Sequential K-means

Input: $D = \{d_1, d_2, \dots, d_n\}$, set of n data items, k number of desired clusters.

Output: k clusters.

Steps:

1. Initialize the k centroids using (1).
2. Perform distance calculation between cluster centers and data objects using (2).
3. Associate each object d_i to the nearest cluster with minimum distance.
4. Repeat
 - a. Calculate new cluster centroid using (3).
 - b. Perform distance calculation between cluster centers and data objects using (2).
 - c. Associate each object d_i to the nearest cluster

Algorithm 2: K-Means Using OpenMP

Input: $D = \{d_1, d_2, \dots, d_n\}$, set of n data items, k number of desired clusters

Output: k clusters.

Steps:

- 1 Master thread initializes the k centroids using (1).
- 2 Child threads calculate the distance between each data items and each cluster using (2) in parallel.
- 3 Child threads associates each d_i to the closest cluster with minimum distance between them in parallel.
- 4 Repeat
 - a. Master thread calculates new cluster centroid using (3).
 - b. Child threads perform distance calculation

OpenMP Based Implementation of k-Means: Parallel K-means clustering algorithm using OpenMP is described in Algorithm 2. It enables the cluster analysis in shared memory system for very large datasets. In this implementation we use the number of threads equal to hardware threads because that gives the better efficiency and the problems with false sharing is also avoided with the help of the schedule clause of the for directive of OpenMP.

4.Experimental Results

Artificially generated synthetic datasets are used for the experimentation purpose. Data objects are randomly generated in each synthetic dataset. To observe the influence of the number of dataset size on the computational performance, datasets with 1000, 10000, 20000, 30000 and 50000 2-dimensional were created for different values of k ranging from 2 to12. Multiple runs providing execution time of serial and OpenMP k-means clustering were set, based on the two ways:

1. Varying data size, keeping k (number of cluster) constant.
2. Varying k (number of cluster), keeping data size constant.

Varying data size keeping k constant: Observing the change in execution time keeping k the number of clusters constant from $k=2,4,6,8,10,12$ and varying dataset from 1000, 10000, 20000, 30000 and 50000. Table 1,3,5,7,9,11 shows the execution time of Serial vs. OpenMP code where $k=2, 4, 6, 8, 10, 12$. Table 2, 4, 6, 8, 10, 12 shows the Speedup for Serial vs. OpenMP code where $k=2, 4, 6, 8, 10, 12$. Fig. 1-6 illustrate the graph of computational time of Sequential vs. OpenMP implementation where $k=2, 4, 6, 8, 10, 12$.

Table I Execution Time (ms) of Serial vs OpenMP when $k=2$

Dataset	Serial	OpenMP
1000	7	10
10000	50	40
20000	60	60
30000	130	100
50000	200	150

Table II Execution Time (Ms) Of Serial Vs Openmp When $K=3$

Dataset	Speedup (OpenMP)
1000	0.7
10000	1.25

20000	1
30000	1.3
50000	1.333

Table III Execution Time (ms) of Serial vs OpenMP when k=4

Dataset	Serial	OpenMP
1000	7	10
10000	50	40
20000	110	80
30000	150	110
50000	290	190

Table IV Speedup for Serial vs. OpenMP code for k=4

Dataset	Speedup (OpenMP)
1000	0.7
10000	1.25
20000	1.375
30000	1.364
50000	1.526

Table V Execution Time (ms) of Serial vs OpenMP for k=6

Dataset	Serial	OpenMP
1000	10	20
10000	100	70
20000	410	250
30000	780	460
50000	1170	690

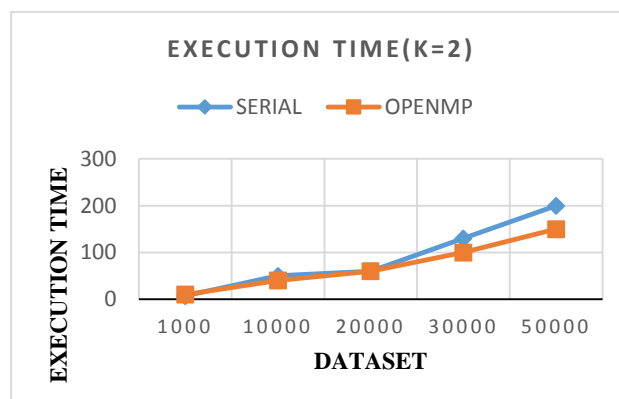


Fig. 1 Execution Time (ms) of Serial vs OpenMP for k=2

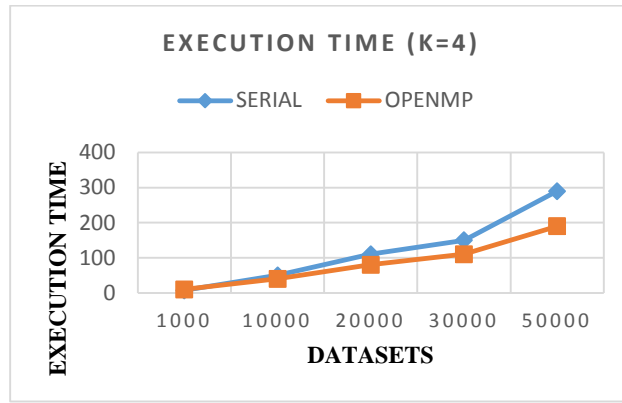


Fig. 2 Execution Time (ms) of Serial vs OpenMP for k=4

Table VI Speedup for Serial vs OpenMP code for k=6

Dataset	Speedup (OpenMP)
1000	0.50
10000	1.429
20000	1.640
30000	1.696
50000	1.696

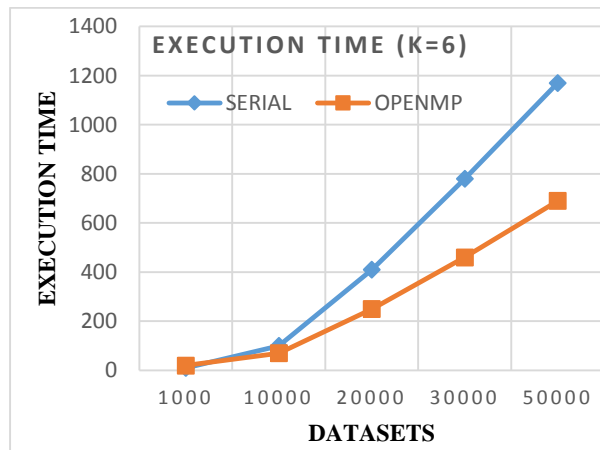


Fig. 3 Execution Time (ms) of Serial vs OpenMP for k=6

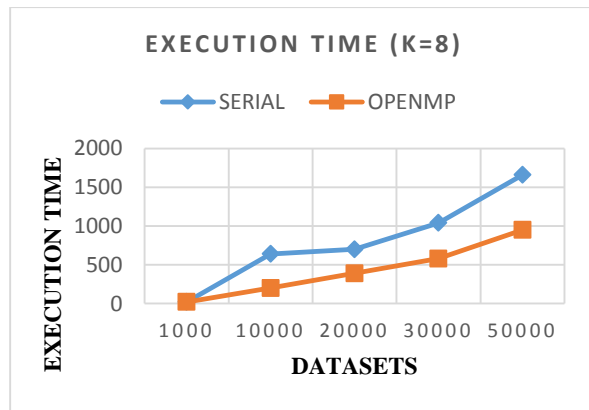


Fig. 4 Execution Time (ms) of Serial vs OpenMP for k=8

Table VII Execution Time (ms) of Serial vs OpenMP for k=8

Dataset	Serial	OpenMP
1000	20	20
10000	640	200
20000	700	390
30000	1040	580
50000	1660	950

Table VIII Speedup for Serial vs OpenMP for k=8

Dataset	Speedup (OpenMP)
1000	1
10000	3.2
20000	1.795
30000	1.793
50000	1.747

Table IX Execution Time (ms) of Serial vs OpenMP for k=10

Dataset	Serial	OpenMP
1000	10	10
10000	210	130
20000	350	210
30000	730	420
50000	1890	1070

Table X Speedup for Serial vs OpenMP for k=10

Dataset	Speedup (OpenMP)
1000	1.00
10000	1.615
20000	1.667
30000	1.738
50000	3.405

Table XI Execution Time (ms) of Serial vs OpenMP for k=12

Dataset	Serial	OpenMP
1000	10	10
10000	220	130
20000	950	530
30000	990	570
50000	1720	950

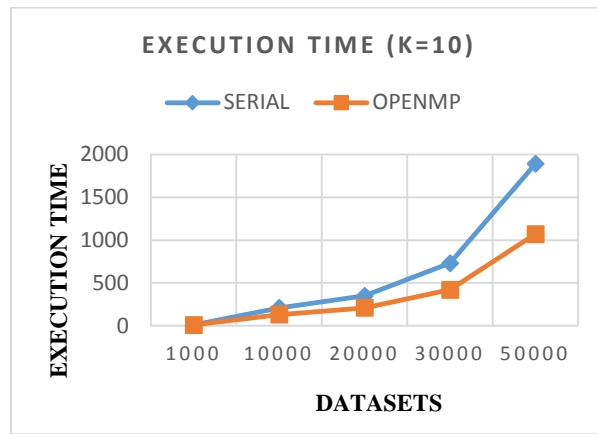


Fig. 5 Execution Time (ms) of Serial vs OpenMP for k=10

Table XII Speedup for Serial vs OpenMP for k=12

Dataset	Speedup (OpenMP)
1000	1
10000	1.692
20000	1.792
30000	1.737
50000	1.811

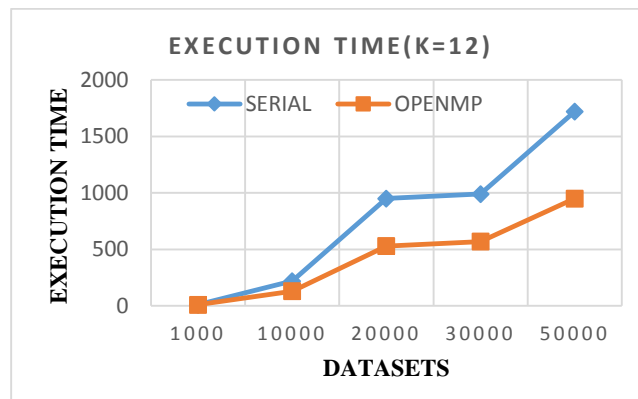


Fig. 6 Execution Time (ms) of Serial vs OpenMP for k=12

From Fig. 1-6 we can see that when k=2, 4, 6, 8, 10, 12 and the data size between 1000-50000 there are variations in execution time. For data size 1000, serial k-means has better execution time but OpenMP based k-Means provides better performance for all data sizes > 1000. This indicates that OpenMP based k-Means results in better execution time.

Varying k keeping data size constant: Observing the change in execution time keeping dataset constant from 1000, 10000, 20000, 30000 and 50000 and varying the k from k = 2 to 12. Tables 13, 15, 17, 19, 21 shows the execution time of Serial vs. OpenMP code where dataset= 1000, 10000, 20000, 30000 and 50000. Table 14, 16, 18, 20, 22 shows the Speedup for Serial vs. OpenMP code where dataset= 1000, 10000, 20000, 30000 and 50000. Fig. 7-11 illustrate graph of execution time of Sequential vs. OpenMP for data size = 1000, 10000, 20000, 30000 and 50000.

Table XIII Execution Time (ms) of Serial vs. OpenMP when dataset=1000

k	Serial	OpenMP
2	7	10
4	7	10
6	10	20
8	20	20
10	10	10
12	10	10

Table XIV Speedup for CPU vs. OpenMP for dataset=1000

k	Speedup (OpenMP)
2	0.7
4	0.7
6	0.5
8	1
10	1
12	1

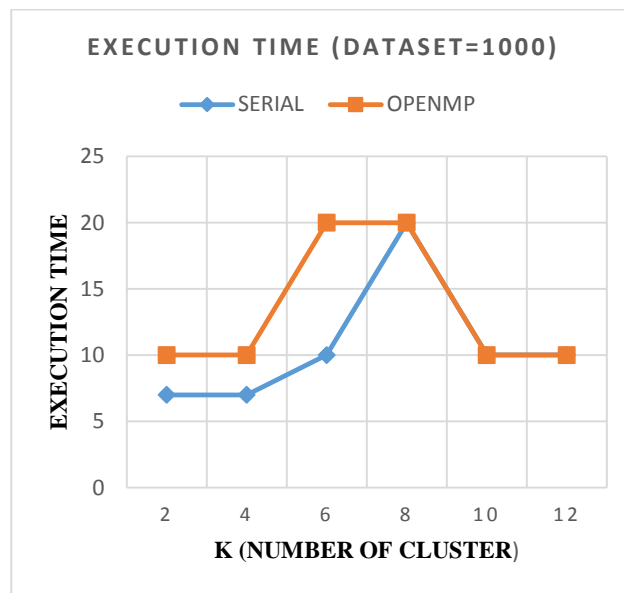


Fig. 7 Execution Time (ms) of Serial vs. OpenMP for dataset=1000

Table XV Execution Time (ms) of Serial vs. OpenMP for dataset=10000

k	Serial	OpenMP
2	50	40
4	50	40
6	100	70
8	640	200
10	210	130
12	220	130

Table XVI Speedup for CPU vs. OpenMP for dataset=10000

k	Speedup (OpenMP)
2	1.25
4	1.25
6	1.42
8	3.2
10	1.62
12	1.69

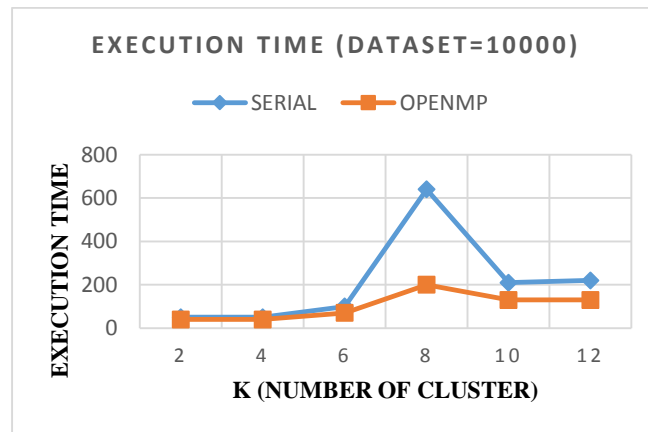


Fig. 8 Execution Time (ms) of Serial vs. OpenMP for dataset=10000

Table XVII Execution Time (ms) of Serial vs. OpenMP for dataset=20000

k	Serial	OpenMP
2	60	60
4	110	80
6	410	250
8	700	390
10	350	210
12	950	530

Table XVIII Speedup for CPU vs. OpenMP for dataset=20000

k	Speedup (OpenMP)
2	1
4	1.38
6	1.64
8	1.79
10	1.67
12	1.79

Table XIX Execution Time (ms) of Serial vs. OpenMP for dataset=30000

k	Serial	OpenMP
2	130	100
4	150	110
6	780	460
8	1040	580
10	730	420
12	990	570

Table XX Speedup for CPU vs. OpenMP for dataset=30000

k	Speedup (OpenMP)
2	1.30
4	1.36
6	1.70
8	1.79
10	1.74
12	1.74

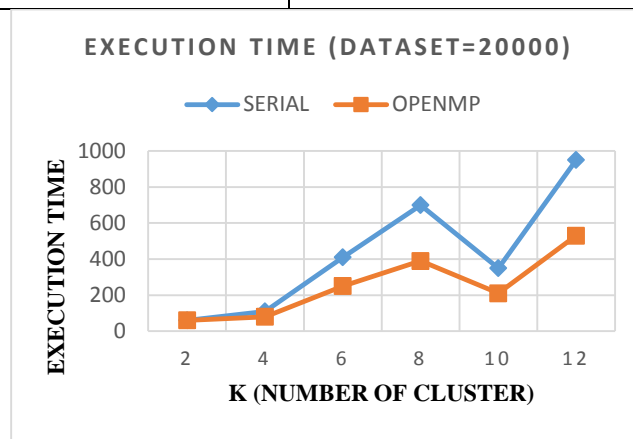


Fig. 9 Execution Time (ms) of Serial vs. OpenMP for dataset=20000

Table XXI Execution Time (ms) of Serial vs. OpenMP for dataset=50000

k	Serial	OpenMP
2	200	150
4	290	190
6	1170	690
8	1660	950
10	1890	1070
12	1720	950

Table XXI Speedup for CPU vs. OpenMP for dataset=50000

k	Speedup (OpenMP)
2	1.33
4	1.53
6	1.70
8	1.75
10	1.77
12	1.81

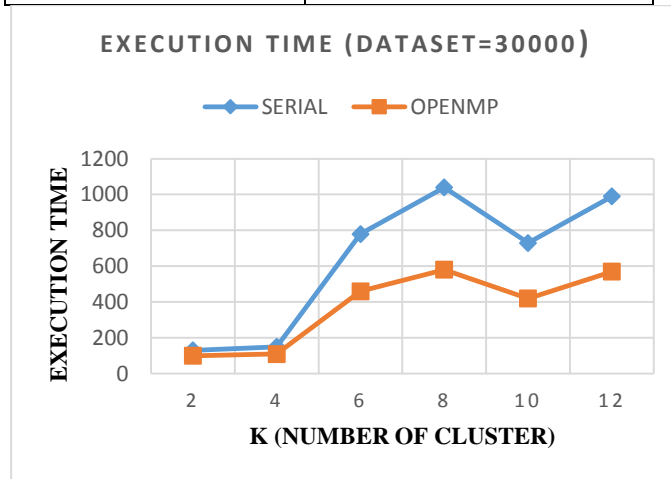


Figure 10: Execution Time (ms) of Serial vs. OpenMP for dataset=30000

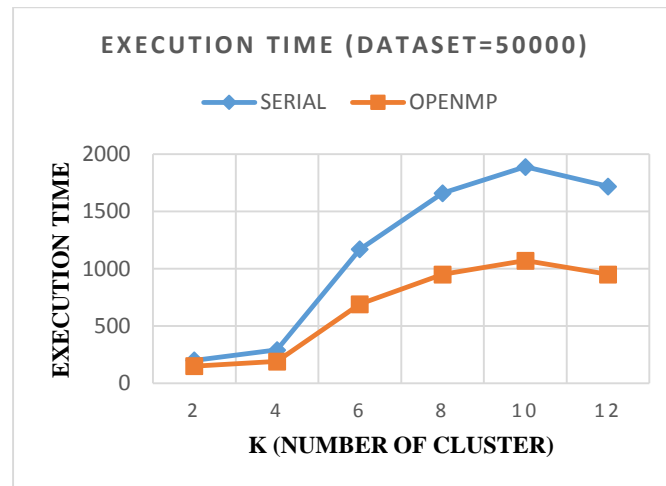


Figure 11: Execution Time (ms) of Serial vs. OpenMP for dataset=50000

From Fig. 7-11, we see that for data size 1000, the performance of OpenMP and serial k-means are comparable. But when dataset kept constant between 1000 to 50000 varying k from 2 to 12, we obtain better execution time in OpenMP k-means clustering code compared to serial k-means clustering code. This shows that OpenMP k-means results in better execution time.

5.Conclusion

In this study, OpenMP based parallelization of k-Means algorithm is attempted with objective reducing the computational cost of k-Means on large datasets without sacrificing the accuracy. From the experimental results, it has been observed that the proposed OpenMP based parallel version of the k-Means produces exactly, the same results as with the Serial algorithm with much lower computational almost inversely proportional to the number of cores used.

Although the experimental results presented in this study is based on artificially generated synthetic data, OpenMP based parallel version of k-Means can very well be applied on real world huge datasets such as web access logs, bioinformatics sequences, high dimensional images etc.

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