

## GPU-Based Parallel Algorithm for Wideband Signal Timing Recovery

**Mohammad Hasan Shammakhi**

Department of Electrical Engineering  
Amirkabir University of Technology  
mh.shammakhi@aut.ac.ir

**Parnia Haji Faraji**

Department of Electrical and Computer Engineering  
University of British Columbia  
parnia@ece.ubc.ca

**Milad Mohammadi Bidhandi**

Department of Electrical Engineering  
Amirkabir University of Technology  
mealadmmb@aut.ac.ir

**Mohsen Hosseinzadeh**

Department of Electrical Engineering  
Amirkabir University of Technology  
m.hosseinzadeh83@aut.ac.ir

### Abstract

Symbol timing recovery is a complex calculation process that detects and corrects timing error in a coherent receiver. This paper presents a new implementation of GPU-based symbol timing recovery based on the parallel version of Gardner's method to minimize the timing error. Gardner's method utilizes a sequential process that relies on feedback error. The proposed method is a fast parallel implementation method on a GPU for time-error detection (TED) using the parallel timing recovery structure of sample signal blocks which makes fast error detection possible. We calculate the interpolation filter coefficients before timing recovery to detect the timing error of the symbols. We then compare the performance of timing recovery for different parallel techniques on different GPUs to minimize error and improve processing speed, up to 100 times, compared to Gardner's method. Performance evaluations show that we achieved a very high rate of timing recovery (50 Msymb/sec on GTX 1050 Ti) by optimizing the GPU implementation.

**Keywords:** Gardner's method, timing recovery, GPU, Cuda, synchronization, coherent receiver, digital communication

### Introduction

In a telecommunication receiver, synchronization between transmitter and receiver is required in order to recover the correct symbols. In analog systems, the synchronization could be in a feed-forward or a feed-backward path by reproducing a time waveform from the input signal, so the phase and frequency of the local clock with the received signal will be synced [1]. When data is transmitted over a wireless channel, the synchronization is lost due to different types of noise, including phase noise, receiver thermal noise, fading and frequency offset. Therefore, a timing recovery subsystem is required to sample data at the right moment and detect its peak for correct simultaneous timing recovery (STR) [2]. One-time sampling at the receiver is ineffective due to the noise, such as Gaussian white noise. Therefore, the receiver noise could get diminished using an adaptive filter and increase the signal-to-noise ratio (SNR) of a sampled point (due to increased correlation). The goal is to get the best SNR while avoiding inter symbol interference. To maximize the SNR for detection, the demodulator must form an inner multiplication between the input signal and the reference signal [3]. This means that the locally generated reference signal must be synchronized with the received signal, then we need a proper timing recovery to extract the output symbol to compensate for the difference between the transmitter and receiver clock so that the symbol is extracted correctly. Because the transmitter's DAC and the receiver's ADC have different sampling times and they work independently of each other, there is a mismatch in sampling time that must be compensated for on the receiver side. For decades, engineers have tried to design and implement intelligent receivers. With technology advancement, the timing recovery process was transferred to the digital domain, although the concepts in the analog and digital domains are the same [4]. The timing recovery process uses a delay-locked loop (DLL) which has three main components: Timing error detection process (TED), Loop filter (LF) for phase and frequency offset detection, and a controlled oscillator, such as a numerically controlled oscillator (NCO) in order to regulate the sampling time so that the peak of the input signal corresponds to the reference signal. There are several widely used methods for TED that will be discussed shortly, such as the Gardner method [5], Müller-Müller algorithm (M&M) [6], early-late algorithm (ELGA) [2], and Maximum probability (ML) - based on TED [7].

It is desired to apply TED with parallel tasks while maintaining the highest SNR and accuracy with the lowest possible sampling rate to speed up timing recovery by using data independence characteristics. Therefore, our method is focused on a Gardner-based TED [3]. ML method searches for correlation output peaks using the derivation of match filter (DMF). ELGA (early-late) is the former model of TED which finds the derivative by approximation using the early, prompt and late. This provides a relatively low-complexity structure for a high-performance system, which is crucial in terms of designing an efficient transceiver from a resource point of view. However, it has complex calculations. It needs three SPS and high-order filters. M&M requires only one SPS, but



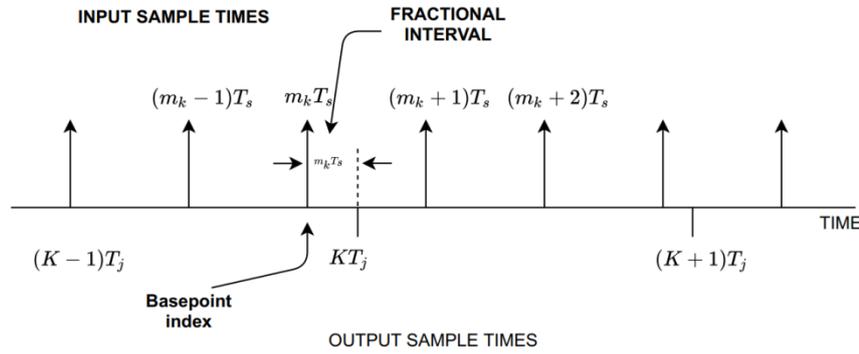


Figure 2. Sample time relations[3]

$$\begin{aligned}
 E(r) &= x_d^2(rT + \tau) = x^2(\tau + rT) + x^2(\tau + (r - 1/2)T) - 2x(\tau + rT)x(\tau + (r - \frac{1}{2})T) \\
 u_t(r) &= x(\tau + (r - 1/2)T)\{x(\tau + rT) - x(\tau + (r - 1)T)\}
 \end{aligned}
 \tag{1}$$

After calculating the error, the jitter value is calculated in terms of  $ted$  and  $\int ted$ . The value of  $\mu_{new}$  based on jitter is then calculated as (2)[4].

$$\begin{aligned}
 ted &= real\left( conj\left(x\left(r - \frac{1}{2}\right)\right)\{x(r) - x(r - 1)\}\right) \\
 jitter &= a * ted + b * \int ted; \\
 \mu_k &= int\left[k \frac{T_i}{T_s}\right] \\
 \mu_k &= k \frac{T_i}{T_s} - m_k
 \end{aligned}
 \tag{2}$$

Following this method, the position of the symbol is obtained[5].

**CUDA**

In November 2006, NVIDIA® CUDA® (CUDA or Compute Unified Device Architecture) introduced a parallel computing platform and general programming model that is available for software developers as a variety of functions in programming languages. It uses the parallel computing engine in NVIDIA GPUs to solve many complex computing problems in a more efficient way than CPUs in parallel processing.[6]

GPUs have been used in the past for computer graphics, but with the development of GPU technology in recent years, they are used for a wider range of applications, especially those involving large array processing and data matrices. Modern GPU platforms consist of one or more processor cores and one or more GPUs with powerful arithmetic resources that can run a large number of threads (computationally) at the same time.

The NVIDIA GTX 1050 TI hardware used in our tests has 216 processor cores, providing a total of more than 165,000 active threads. GPUs process active threads simultaneously, and to increase the efficiency of such simultaneous execution, several threads can be allocated separately and perform various calculations until fully executed. To optimize our design, not only we use the memory hierarchy in GPUs, especially using register, shared memory (SM) and constant memory (CM), but also we utilized multiple existing processors (MP).[7] To make effective use of the GPU platform, the implementation should be in a way that the GPU threads are kept as busy as possible. This means that independent parallel execution opportunities must be identified and distributed in the GPU for efficient use of resources. In our platform, data transfer between the CPU and the GPU is done via the PCI Express bus. Although this kind of data transferring reaches high-speed rates, the power consumption is too large. Therefore, prior to transferring the results to the CPU, such transmissions must be diminished by maximum processing on the GPU.[6]

**Proposed Method**

Our design is based on the Gardner method shown in Figure 1. The main problem with Gardner's algorithm is its sequential nature. This characteristic limits the number of parallel paths that can be used to implement the algorithm on GPU and FPGA hardware. Even though, the modern CPUs which have the ability to perform multiple operations

simultaneously, due to the fact that in the Gardner algorithm the current data which is being processed is dependent on the former data, There is need to perform operations sequentially. The time-consuming interpolation operation to reach the target points in the Gardner algorithm increases the extraction time of each symbol. Our first step is to separate the interpolation operations as much as possible to increase the timing recovery processing rate. This technique doubles the system rate on our target hardware.

As Gardner's article points out, extracting symbols from samples requires incorrect interpolation of data. The cubic spline is the most efficient algorithm in terms of memory required to calculate and interpolate the desired points. As shown in Figure 2 the neighbor samples of the output symbols are used to make the calculation more accurate. To calculate the signal value at the point  $m_k T_s + \mu_k T_s$  (3) is used. The signal value at this point is obtained by replacing  $x$  with  $\mu_k$ .

The right part of the (3) is derived using the errors obtained of the previous data. The prediction of candidate signal samples as  $s_0, s_1, s_2, s_3$  is impossible. Due to the fact that  $s_0$  to  $s_3$  are four consecutive samples from the set of sampled points of the signal, these values can be calculated in advance for all  $s_1$  data parallel and in a short time, instead of obtaining them sequentially and calculating the coefficients in the M matrix. In this way, calculating the desired points has much less computational complexity.

$$s(x) = [1 \quad x^1 \quad x^2 \quad x^3] \times \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1/3 & -1/2 & 1 & 0 \\ 1/2 & -1 & 1/2 & 0 \\ -1/6 & -1/2 & -1/2 & 1/6 \end{bmatrix} \times \begin{bmatrix} s_0 \\ s_1 \\ s_2 \\ s_3 \end{bmatrix} \quad (3)$$

*Coef\_matrix*

Therefore, we divide the timing recovery operation into two parts after applying the match filter. The first part is related to cubic interpolator calculations while the second one uses the timing recovery and timing error calculations according to equation (4) in order to calculate  $C_0$  to  $C_3$ .

$$\begin{aligned} C_0 &= S_1 \\ C_1 &= -1/3 S_0 - 1/2 S_1 + S_2 - 1/4 S_3 \\ C_2 &= 1/2 S_0 - S_1 + 1/2 S_2 \\ C_3 &= -1/6 S_0 - 1/2 S_1 - 1/2 S_2 + 1/6 S_3 \end{aligned} \quad (4)$$

So, for every  $S_0$  to  $S_3$  that will be 4 consecutive instances of the signal, we will have the corresponding  $C_0$  to  $C_3$ . Therefore, before retrieving the time and calculating the timing error, we calculate the values  $C_0(n)$  to  $C_3(n)$  for all samples. The time required to perform this kernel on the GPU is very short and its speed will be about 1% of the total processing time. There will be two ways in order to calculate the values of  $C_0(n)$  to  $C_3(n)$ . In the first method, each thread is responsible for calculating the real and imaginary parts of  $C_0(n)$  to  $C_3(n)$ . Therefore, we need thread as much as the number of dataframes. This algorithm is performed as follows.

```
while (n < data length)
    C0(n) = S(n - 1)
    C1(n) = -1/3 S(n) - 1/2 S(n - 1) + S(n - 2) - 1/6 S(n - 3)
    C2(n) = 1/2 S(n) - S(n - 1) + 1/2 S(n - 2)
    C3(n) = -1/6 S(n) + 1/2 S(n - 1) - 1/2 S(n - 2) + 1/6 S(n - 3)
    n = n + gridDim × blockDim
```

(5)

In the second method, each thread is responsible for calculating the real and imaginary parts of one of  $C_0(n)$  to  $C_3(n)$ . Then, the number of threads needed for this method is four times as much as the signal length. Each one of the proposed methods may give us a better result depending on the number of different cores, hardware and frame length. In the third method, each multiplication is done by one single thread. The next steps after the preprocessing are symbol extraction and the timing recovery error calculation. As stated in (2), The time recovery error is calculated as follows.

$$\begin{aligned}
 val0 &= C_0 + \mu_{frac} \times (C_1 + \mu_{frac} \times (C_2 + \mu_{frac} \times C_3)) \\
 ted &= real(conj(val1) \times val2 - val0) \\
 tr(out) &= val1 \\
 jitter &= a \times ted + b \times tedacc \\
 tedacc &= tedacc + ted \\
 \mu_{new} &= \mu_{frac} + \frac{SPS}{2} + jitter \\
 \mu_{frac} &= mod(\mu_{new}, 1) \\
 val2 &= val1 \\
 val1 &= val0 \\
 i &= i + floor(\mu)
 \end{aligned} \tag{6}$$

The goal is to parallelize the calculation of timing recovery error. To overcome this, the data is processed in groups so that the extraction of  $n_{par}$  symbols would be simultaneous and their timing recovery error is calculated. Then, based on the error obtained from the  $n_{par}$  symbol, the next symbols are determined. Assume that  $s$  is the position of the last extracted symbol. The last estimated value of  $\mu$  is divided into two parts  $\mu_{frac}$  and  $\mu_{pre}$ , Which are fractional and decimal parts of  $\mu$  respectively. To calculate the  $\mu$  of the next  $n_{pre}$  symbols, we have:

$$\begin{aligned}
 for\ k &= 1:2 \times N \\
 \mu_{new}(k) &= i + \mu_{frac} + k \times \mu_{pre} \\
 \mu_{frac\_new}(k) &= mod(\mu_{new}(k), 1) \\
 i(k) &= floor(\mu_{new}(k))
 \end{aligned} \tag{7}$$

In equation( 7 ),  $i(k)$  is the index number varying from  $C_0$  to  $C_4$  that will be used for interpolation. Then,  $val(k)$  is calculated as follows:

$$val(k + 1) = CubicInterpolation(S_k, \mu_{frac\_new}(k)) \tag{8}$$

As mentioned before, each  $n_{par}$  symbol of the signal is calculated simultaneously from one single reference point. Therefore, if the estimation error for the first symbol in a block is equal to  $e_1$ , then the estimation error for the second one will be accumulated, so we will have:

$$e_2 = 2e_1 \tag{9}$$

Similarly, the error for the  $n_{par}$  symbol is:

$$e_{n\_par} = n_{par} \times e_1 \tag{10}$$

To calculate TED for each  $n_{par}$  symbol, TED will be updated. The timing error of the first symbol in the block will be  $ted = e_1$ , and the error for the second symbol will be  $e_2 = 2 \times ted$  and finally, the error for the last symbol will be  $e_{n\_par} = n_{par} \times ted$ . So,  $TED$  is equal to equation ( 11 ):

$$\overline{ted} = \frac{\sum_{i=1}^{n\_par} \frac{e_i}{i}}{n\_par} \tag{11}$$

Therefore, to calculate the error values, we will have:

$$\begin{aligned}
 ted\_new &= \frac{1}{n\_par} \sum_{i=1}^{n\_par} \frac{e_i}{i} \\
 jitter &= n\_par \times \alpha \times ted\_new + b \\
 &\quad \times tedacc \\
 tedacc &= tedacc + ted\_new \\
 \mu_{frac} &= \mu_{frac\_new} (2 \times n\_par)
 \end{aligned} \tag{12}$$

These values will be used for the next block's calculation.

## Design and Implementation

In order to compare the CPU and GPU performance, we implemented the model described first in MATLAB and then in C++ and CUDA. To evaluate the test results, we generated different signals from BPSK, QPSK, 8PSK, 16QAM modulations with RRC pulse shaping and different SPS (4, 8, 16) with two different Roll\_of\_factor (0.25 and 0.35). Finally, the  $N_{ISI}$  had two different values of 10 and 100. One million symbols are made from all these signals. The AWGN noise is added to the generated signal for different SNRs and then frequency offset is applied to generated signal. We also utilized several satellite signals such as DVBS and DVBS2 protocols to evaluate the results. They also inherently have phase and frequency offset. On the receiver side, we have used an RRC match filter with length  $SPS \times N_{ISI} + 1$ , with the Roll\_of\_factor of 0.3.

The CPU used for the test is RYZEN 5 2500 3.4GHz (6 cores) and Core i7-10700k 3.8GHz and the GPU used in this work is NVIDIA GTX 1050, the technical specification is shown in Table1:

Table1: Technical specifications of NVIDIA GTX 1050 graphic card

CUDA driver version/run time version	11.1
Total amount of global memory	4096MBytes
CUDA cores	768
GPU max clock rate	1493MHz
Memory bus width	128-bit
Total amount of constant memory	65536 Bytes
Total amount of shared memory per block	49152 Bytes

The first kernel is run on the first stream and the process completion event of this kernel is connected to the second stream, which is responsible for the timing recovery of the symbols and timing error detection.

### Parallel Timing Recovery

As stated in Equations (6) to (12) we must assign  $2 \times n_{par}$  threads to the kernel. For the timing recovery, a long stream of data needs to be processed, which means that the data must be framed and transferred to the kernel. We choose 10000 to 1000000 symbols -depending on sps for the desired GPU. In the designed processing kernel function, the value of  $i$  increases until there are not as many symbols as  $\mu_{new} = (2 \times n_{par})$  at the end of the frame. Therefore, as soon as the processing stops, the main kernel parameters such as  $\mu$ ,  $tedacc$  and the last part of the frame are moved to the next frame so that processing can continue from the correct point while the last processing state is kept. The Matlab and CUDA code on the algorithm is presented in [8].

The performance of the algorithm is discussed from two different points of view. EVM (%), RMS, peak EVM (%), and Avg EVM (dB), peak EVM (dB) and avg MER are the parameters used to measure the recovery accuracy. The error vector magnitude or EVM is a measure used to quantify the performance of a digital radio transmitter or receiver. An error vector is a vector in the I-Q plane between the ideal constellation point and the point received by the receiver. The root mean square (RMS) average amplitude of the error vector, normalized to ideal signal amplitude reference. The modulation error ratio or MER is another measure used to quantify the performance of a digital radio transmitter or receiver in a communications system using digital modulation. The modulation error ratio is equal to the ratio of the root mean square (RMS) power (in Watts) of the reference vector to the power (in Watts) of the error. As shown in Figure 3, the number of symbols required to reach the knee of the ted diagram in convergence is another parameter that determines the locking time of the algorithm.

Table 1 shows the degree of accuracy and speed in convergence.

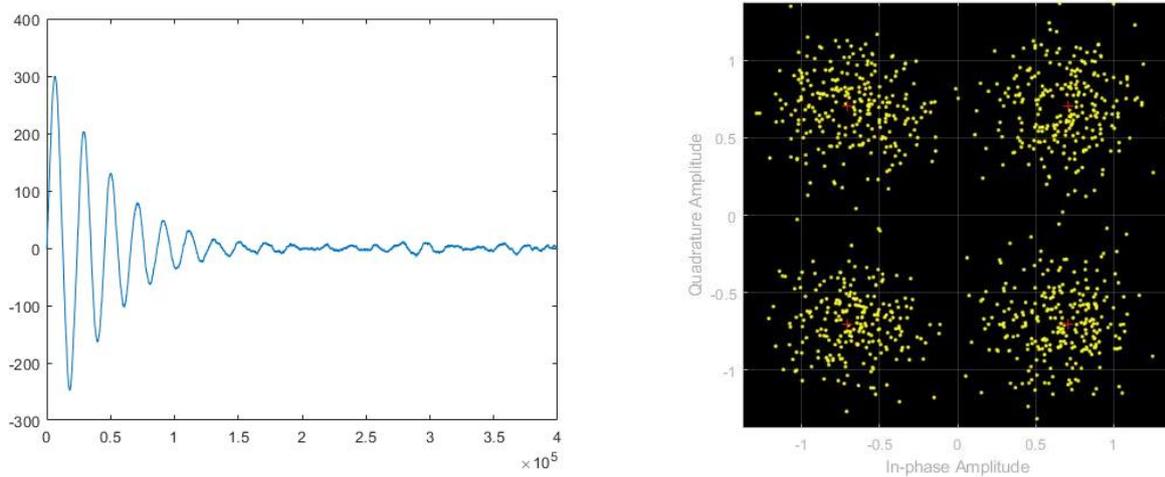


Figure 3: Convergence speed and constellation result of the proposed method

Table 1: Comparison of accuracy and convergence speed of our proposed method and Classic Gardner

Method	Classic Gardner	Parallel Gardner		
		N_Par =16	N_Par = 32	N_Par = 64
RMS EVM(%)	32.1	32.2	32.3	32.6
Peak EVM(%)	82.2	82.5	82.5	83.8
Avg EVM(db)	-9.5	-9.5	-9.5	-9.6
Peak EVM(db)	-1.7	-1.7	-1.7	-1.7
Avg MER(db)	9.5	9.5	9.5	9.6
N Symbol to Lock	$1.10 * 10^4$	$1.11 * 10^4$	$1.15 * 10^4$	$1.25 * 10^4$

The results of the implementation of the algorithm on the NVIDIA GTX 1050 for  $n\_par$  16, 32, 64 and the maximum processing rate are given in table 3.

Method	CPU( Ryzen 2600)		GPU		
	With MF and Interpolation	Without MF and Interpolation	N_Par=16	N_Par = 32	N_Par = 64
Max Symbol Rate Process (M symb/sec)	0.49	1.01	17.8	26.78	50.3

Table 2: maximum symbol rate processing capability using Gardner's algorithm and proposed method

As it is shown in table 3, while  $n_{par}$  increases, algorithm's performance speeds up. Also, the RMS\_EVM value on the constellation goes up, which drops the accuracy of timing recovery .

## Conclusion

In this paper, a novel method was introduced to increase the Gardner's timing recovery processing rate in a telecommunication receiver. The method is fitting for hardware with parallel processing capabilities such as FPGA and GPU. The purpose of this processing block is to recover the symbols sent on the transmitter side and to compensate for the error caused by the independence of the transmitter and receiver clock. This method has the ability to recover the correct transmitted symbol based on the sampled signal. It also resolves the timing error in symbols calculation over time. Our first innovation is the use of parallelization techniques in calculating cubic interpolation coefficients based on input data to calculate the signal at the point. We have also provided a method for calculating the value of  $\tau$  in signals. The combination of these two methods has enabled us to process wideband signals. Our proposed method, considering 64 parallel paths in the calculation, is able to process signals with a rate symbol equal to 50 MegaBytes per second using medium GPUs, which is 100 times higher than the processing rate of Gardner's method in the CPU.

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